



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: JayRaz Luchoomun *et al.*

Serial No.: 09/833,407

Filed: April 11, 2001

Title: Compounds and Methods to Increase Plasma HDL Cholesterol Levels and Improve HDL Functionality

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

**TRANSMITTAL OF MISSING PARTS**

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Dear Sir:

On June 11, 2001, the PTO mailed a Notice to File Missing Parts in the above Application. Part 2 of that Notice is enclosed herewith.

The Notice also indicates that the application was filed without an oath or declaration. Applicants submit herewith an executed Declaration of Patent Application.

Applicants also enclose a check for \$65.00 for a late filing fee.

The Commissioner is authorized to charge any deficiencies or credit any overpayment to Deposit Account No. 11-0980.

Respectfully submitted,

Clark G. Sullivan  
Registration No. 36,942

KING & SPALDING  
191 Peachtree Street  
Atlanta, GA 30303  
404-572-3513 (Direct Line)



CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this Transmittal of Missing Parts, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Clark G. Sullivan

Date: July 3, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/833,407	04/11/2001	JayRaz Luchoomun	ATH 113 US

CONFIRMATION NO. 3420

FORMALITIES LETTER



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20786  
KING & SPALDING  
191 PEACHTREE STREET, N.E.  
ATLANTA, GA 30303-1763

Date Mailed: 06/11/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

*A copy of this notice **MUST** be returned with the reply.*

CV

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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\$5.00 00